Examiner: Not Yet Assigned



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Edward Goldberg

Application No.: 10/690,362

Filing Date: 10/21/2003

For: CARABINER TOOL ASSEMBLY

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant respectfully submits this Information Disclosure Statement, identification of the information, and copies thereof if required pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98 in order to comply with the duty of disclosure. The enclosed Form PTO SB/08A/B identifies the references of which Applicant is aware. The Examiner is respectfully requested to consider the information herein and make it of record in the subject application.

X By checking this paragraph, this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(b) within three months of the filing date of the application or before the application has received a substantive Office Action. Accordingly, no fee is necessary; however, should the Patent Office determine that a fee is due, the Commissioner is authorized to charge any such fee to Deposit Account No. 11-0223.

By checking this paragraph, this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(c) before the mailing date of a Final Action, a Notice of Allowance, or close of prosecution. Accordingly included herewith is:

a check to cover the fee under 37 C.F.R. § 1.17(p), the Commissioner is authorized to

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, on the date shown below.

Dated: June 25, 2004

Signature:

Print Name: Michael R. Gilman

Attorney Docket No.: 287/11 Application No.: 10/690,362 charge any further fee to Deposit Account No. 11-0223; or a statement below under 37 C.F.R. § 1.97(e). By checking this paragraph, this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(d) after the mailing date of a Final Action, a Notice of Allowance, or close of prosecution, but before payment of the issue fee. Accordingly included herewith are: a check to cover the fee under 37 C.F.R. § 1.17(p), the Commissioner is authorized to charge any further fee to Deposit Account No. 11-0223; and a statement below under 37 C.F.R. § 1.97(e). Statement Pursuant to 37 C.F.R. § 1.97(e): by checking this paragraph the undersigned states that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior the filing of this Information Disclosure Statement; or by checking this paragraph the undersigned states that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent in a counterpart foreign application, and, the undersigned, after making reasonable inquiry, believes that no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. Dated: June 25, 2004 Respectfully submitted,

Michael R. Gilman Registration No.: 34,826 KAPLAN & GILMAN, L.L.P. 900 Route 9 North, Suite 104 Woodbridge, New Jersey 07095

(732) 634-7634

Attorneys for Applicant

F:\Clients\Universal Sourcing\287-11\IDS.doc

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

offection of information unless it contains a valid OMB control number. the Paperwork Reduction Act of 1995, no persons are required to res

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/PTO

Sheet

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/690,362		
Filing Date	October 21, 2003		
First Named Inventor	Edward Goldberg		
Art Unit	3724		
Examiner Name	Not Yet Assigned	-	
Attorney Docket Number	287/11		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US- 5,270,909	12-14-1993	Weiss et al.	
	AB	^{US-} 6,223,372	05-01-2001	Barber	
	AC	^{US-} D459,338	06-25-2002	Sterzick	
	AD	^{US-} 6,527,434	03-04-2003	Fox , et al.	
	AE	^{US-} D469,023	01-21-2003	Mah	
		US-			

	-	FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	6
		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	
	-					
						L

7		
Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not Considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. The Formance with MPEP 603. Draw line through clauser in for in Conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. The Formance with MPEP 603. Draw line through clauser in for in Conformance with MPEP 603. Draw line through clauser in for in Conformance with MPEP 603. Draw line through clauser in for in Conformance with MPEP 603. Draw line through clauser in for in Conformance with MPEP 603. Draw line through clauser in for in Conformance with MPEP 603. Draw line through clauser in for in Conformance with MPEP 603. Draw line through clauser in formance with MPEP 603. Draw Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND** TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
vork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

		200001171	or rese, no persone en	C required to respond to a senseuro	Complete if Known	
Substitu	te for form 1449/PTO			Application Number	10/690,362	
INF	ORMATION	N DIS	CLOSURE	Filing Date	October 21, 2003	
STA	TEMENT 6	BY A	PPLICANT	First Named Inventor	Edward Goldberg	
				Art Unit	3724	
	(Use as many sh	eets as n	necessary)	Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	287/11	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	ВА	Copy of www.demstore.com website pages regarding Carabiners Light the Way item.	
	BB	Copy of www.branders.com website pages regarding Carabiner Flashlight item.	

Examiner	Date
Signature	Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. Do NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.